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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Y. Kobayshi et al. :

Art Unit:

Serial No.: 08/900,436 :

Examiner:

Filed: June 25, 1997 :

For: CODE CONVERSION METHOD AND APPARATUS, CODE RECORDING MEDIUM, CODE RECORDING APPARATUS AND CODE REPRODUCING APPARATUS

LATE FILING OF DECLARATION AND ASSIGNMENT

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Enclosed is an executed Declaration and Power of Attorney and an Assignment along with an Assignment Recordation Cover Sheet. Applicants respectfully request recordation of the Assignment. A check in the amount of \$170.00 is enclosed to cover the costs associated for the filing of these papers.

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Deposit Account No. 18-0988; 37 CFR 1.16(a), (f) or (g) (filing fees); 37 CFR 1.16(b), (c) and (d) (presentation of extra claims); 37 CFR 1.16(e) surcharge for filing the basic

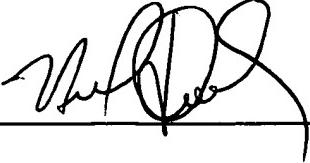
11/20/1997 ESTIMATE AMOUNT \$30.00  
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filing fee and/or declaration on a date later than the filing date of the application);  
and 37 CFR 1.17 (application processing fees).

Respectfully submitted,

RENNER, OTTO, BOISSELLE & SKLAR, P.L.L.

By



Neil A. DuChez

Reg. No. 26,725

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CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the below date with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Date: October 13, 1997



Leah Foster

**PATENT**

Attorney's Docket No. \_\_\_\_\_

**COMBINED DECLARATION AND POWER OF ATTORNEY**(ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL, DIVISIONAL,  
CONTINUATION OR CIP)

As a below named inventor, I hereby declare that:

**TYPE OF DECLARATION**

This declaration is of the following type: (check one applicable item below)

- original  
 design  
 supplemental

NOTE: If the declaration is for an International Application being filed as a divisional, continuation or continuation-in-part application, do not check next item; check appropriate one of last three items.

- national stage of PCT

NOTE: If one of the following 3 Items apply, then complete and also attach ADDED PAGES FOR DIVISIONAL,  
CONTINUATION OR CIP.

- divisional  
 continuation  
 continuation-in-part (CIP)

**INVENTORSHIP IDENTIFICATION**

WARNING: If the Inventors are each not the inventors of all the claims, an explanation of the facts, including the ownership of all the claims at the time the last claimed invention was made, should be submitted.

My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**TITLE OF INVENTION**

CODE CONVERSION METHOD AND APPARATUS, CODE RECORDING  
MEDIUM, CODE RECORDING APPARATUS AND CODE REPRODUCING APPARATUS

**SPECIFICATION IDENTIFICATION**

the specification of which: (complete (a), (b) or (c))

- (a)  is attached hereto.  
 (b)  was filed on July 25, 1997 as  Serial No. 08/900,436  
     or  Express Mail No., as Serial No. not yet known \_\_\_\_\_  
     and was amended on \_\_\_\_\_ (if applicable).

NOTE: Amendments filed after the original papers are deposited with the PTO which contain new matter are not accorded a filing date by being referred to in the declaration. Accordingly, the amendments involved are those filed with the application papers or, in the case of a supplemental declaration, are those amendments claiming matter not encompassed in the original statement of invention or claims. See 37 CFR 1.67.

- (c)  was described and claimed in PCT International Application No. \_\_\_\_\_ filed on \_\_\_\_\_ and as amended under PCT Article 19 on \_\_\_\_\_ (if any).

## **ACKNOWLEDGEMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR**

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information

- which is material to patentability as defined in 37, Code of Federal Regulations, § 1.56

*(also check the following items, if desired)*

- and which is material to the examination of this application, namely, information where there is a substantial likelihood that a reasonable examiner would consider it important in deciding whether to allow the application to issue as a patent, and
- In compliance with this duty there is attached an information disclosure statement in accordance with 37 CFR 1.98.

## **PRIORITY CLAIM (35 U.S.C. § 119)**

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

*(complete (d) or (e))*

- (d)  no such applications have been filed.  
(e)  such applications have been filed as follows.

*NOTE: Where item (c) is entered above and the International Application which designated the U.S. itself claimed priority check item (e), enter the details below and make the priority claim.*

### **A. PRIOR FOREIGN/PCT APPLICATION(S) FILED WITHIN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS APPLICATION AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. § 119**

COUNTRY (OR INDICATE IF PCT)	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 37 USC 119
Japan	8-201615	31, 07 ,1996	<input checked="" type="checkbox"/> YES NO <input type="checkbox"/>
Japan	8-240304	11, 09 ,1996	<input checked="" type="checkbox"/> YES NO <input type="checkbox"/>
			<input type="checkbox"/> YES NO <input type="checkbox"/>
			<input type="checkbox"/> YES NO <input type="checkbox"/>
			<input type="checkbox"/> YES NO <input type="checkbox"/>

(Declaration and Power of Attorney [1-1]—page 2 of 5)

**ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

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**NOTE:** If the application filed more than 12 months from the filing date of this application is a PCT filing forming the basis for this application entering the United States as (1) the national stage, or (2) a continuation, divisional, or continuation-in-part, then also complete ADDED PAGES TO COMBINED DECLARATION AND POWER OF ATTORNEY FOR DIVISIONAL, CONTINUATION OR CIP APPLICATION for benefit of the prior U.S. or PCT application(s) under 35 U.S.C. § 120.

**POWER OF ATTORNEY**

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (List name and registration number)

Armand P. Boisselle  
Reg. No. 22,381

*(check the following item, if applicable)*

- Attached as part of this declaration and power of attorney is the authorization of the above-named attorney(s) to accept and follow instructions from my representative(s).

---

**SEND CORRESPONDENCE TO**

Armand P. Boisselle  
Renner, Otto, Boisselle & Sklar  
The Keith Building  
1621 Euclid Avenue - 19th Fl.  
Cleveland, Ohio 44115

**DIRECT TELEPHONE CALLS TO:**  
(Name and telephone number)

Armand P. Boisselle  
216/621-1113

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**DECLARATION**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



**ADDED PAGE TO COMBINED DECLARATION AND POWER OF ATTORNEY FOR SIGNATURE BY FOURTH AND SUBSEQUENT INVENTORS**

**Full name of fourth joint inventor, if any**

Nobuo

(GIVEN NAME)

(MIDDLE INITIAL OR NAME)

AKAHIRA

FAMILY (OR LAST NAME)

Inventor's signature

Date September 18, 1997

Country of Citizenship Japan

Residence Yawata-shi, Kyoto Japan

Post Office Address 13-6, Otokoyama-kanaburi, Yawata-shi,  
Kyoto Japan

**Full name of fifth joint inventor, if any**

(GIVEN NAME)

(MIDDLE INITIAL OR NAME)

FAMILY (OR LAST NAME)

Inventor's signature

Date \_\_\_\_\_ Country of Citizenship \_\_\_\_\_

Residence \_\_\_\_\_

Post Office Address \_\_\_\_\_

**Full name of sixth joint inventor, if any**

(GIVEN NAME)

(MIDDLE INITIAL OR NAME)

FAMILY (OR LAST NAME)

Inventor's signature

Date \_\_\_\_\_ Country of Citizenship \_\_\_\_\_

Residence \_\_\_\_\_

Post Office Address \_\_\_\_\_

## SIGNATURE(S)

NOTE: Carefully indicate the family (or last) name as it should appear on the filing receipt and all other documents.

### Full name of sole or first inventor

Yoshiharu

(GIVEN NAME)

(MIDDLE INITIAL OR NAME)

KOBAYASHI

(FAMILY (OR LAST NAME))

Inventor's signature

*Yoshiharu Kobayashi*

Date September 18, 1997 Country of Citizenship Japan

Residence Katano-shi, Osaka Japan

Post Office Address Asuteiji 9-205, 4-1, Hoshida-nishi,  
Katano-shi, Osaka Japan

### Full name of second joint inventor, if any

Akira

(GIVEN NAME)

(MIDDLE INITIAL OR NAME)

MUTOH

(FAMILY (OR LAST NAME))

Inventor's signature

*Akira Mutoh*

Date September 18, 1997 Country of Citizenship Japan

Residence Sakai-shi, Osaka Japan

Post Office Address 2-16-12, Takeshirodai, Sakai-shi,  
Osaka Japan

### Full name of third joint inventor, if any

Shin-ichi

(GIVEN NAME)

(MIDDLE INITIAL OR NAME)

TANAKA

(FAMILY (OR LAST NAME))

Inventor's signature

*Shinichi Tanaka*

Date September 18, 1997 Country of Citizenship Japan

Residence Tuzuki-gun, Kyoto Japan

Post Office Address 1-42-14, Yamate-higashi, Tanabe-cho,  
Tuzuki-gun, Kyoto Japan

CHECK PROPER BOX(ES) FOR ANY OF THE FOLLOWING ADDED PAGE(S) WHICH FORM A PART OF THIS DECLARATION

Signature for third and subsequent joint inventors. Number of pages added \_\_\_\_\_

\* \* \*

Signature by administrator(trix), executor(trix) or legal representative for deceased or incapacitated inventor. Number of pages added \_\_\_\_\_

\* \* \*

Signature for inventor who refuses to sign or cannot be reached by person authorized under 37 CFR 1.47. Number of pages added \_\_\_\_\_

\* \* \*

Added page for signature by one joint inventor on behalf of deceased inventor(s) where legal representative cannot be appointed in time (37 CFR 1.47).

\* \* \*

Added pages to combined declaration and power of attorney for divisional, continuation, or continuation-in-part (C-I-P) application.

Number of pages added \_\_\_\_\_

\* \* \*

Authorization of attorney(s) to accept and follow instructions from representative.

\* \* \*

*(If no further pages form a part of this Declaration, then end this Declaration with this page and check the following item:)*

This declaration ends with this page.